

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re a	application of:	Art Unit:	Not Assigned			
Yoshiy	yuki Tanaka, et al.	Examiner:	Not Assigned			
Serial	No: 10/786,960					
Filed:	February 25, 2004	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as				
For:	METHOD FOR CONTROLLING NON- VOLATILE SEMICONDUCTOR MEMORY SYSTEM	first class mail in an envelope addres to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on June 7,2004				
	ISMITTAL OF SUPPLEMENTAL RMATION DISCLOSURE STATEMENT	Date of Depot	ht. Reg No. 36,312			
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
Dear S	irs:					
1.	The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).					
2.	The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(b), but before the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).					
	§1.97(e) STATEMENT					
	I, the person signing below, state:					
	that each item of information contained in the in was first cited in the attached communication fr counterpart foreign application and that the contained than three months prior to the filing of the state	om a foreign p imunication is	atent office in a dated not more			

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	that no item of information contained in the information disclosure statement
	was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R.
	§1.97(e)(2).
	OR FEE
	Attached is a fee set forth in 37 CF.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50·1314. A copy of this petition is enclosed.
3. 🗌	The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).
	§1.97(e) STATEMENT
	I, the person signing below, state:
	that each item of information contained in the information discosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
	OR
	that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).
	AND FEE
	Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).

4.		excessive has been paid, please charg necessary to ensure consideration of	by reason either an insufficient fee or an reany insufficiency or credit any overpayment the information disclosure statement for the it Account No. 501314. A copy of this
<b>5</b> .		A list of 2 reference(s) is in the e	nclosed Form PTO1449.
		NON-ENGLISH LAN	GUAGE REFERENCES
			erpart application. The search report Examiner acy of any non-English language references cited
		The specification incorporates comme references.	nts on the relevancy of Non-English language
		Set forth below are comments provided relevancy of non-English language re	by the applicant's home country counsel on the ferences: N/A
Da	ate:	June 7, 2004	Respectfully submitted, HOGAN & HARTSON I.L.P.  By: William H. Wright
50 Lo Te	0 So s An	re Tower uth Grand Avenue, Suite 1900 ageles, CA 90071 cone: (213) 337-6700 nile: (213) 337-6701	Registration No. 36,312 Attorney for Applicant(s)

FORM PTO-1449				Docket Number (Optional) 81788.0265		Application Number 10/786,960		
O PINFORMATION DISCLOSURE CITATION IN AN APPLICATION				Applicant Yoshiyuki Tanaka, et al.				
(Use several sheets if necessary)				Filing Date February 25, 2004 Group Art Unit Not Assigned				
TRADENT		U.S. PATEN	IT D	OCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER DATE			NAME CLASS		SUBCLASS FILING DATE APPROPRIA		
	5,471,604	11-28-1995	Hasi	bun, et al.				
	5,905,993	05-18-1999	Shin	ohara				
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	OTHER DOCUME	NTS (Including A	Autho	r, Title, Date, Pe	rtinent Pages,	, Etc.)		
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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.